

September 24, 2024

**BEFORE THE INVESTOR PROTECTION DIRECTOR
OF THE STATE OF DELAWARE**

IN THE MATTER OF:)
)
ROBERT BRANDON PRETTYMAN,) Investor Protection Matter No. 24-0117
)
Respondent.)

**MODIFIED SUMMARY ORDER TO CEASE AND DESIST
AND POSTPONING REGISTRATION PENDING ENTRY OF DENIAL**

WHEREAS, on May 3, 2024, the Investor Protection Unit (the “Unit”) issued a *Summary Order to Cease and Desist and Postponing Registration Pending Denial* (the “Summary Order”) (“D.I. 1”) in the above-captioned matter charging the Respondent with violations of the Delaware Securities Act, 6 Del. C. §73-101, *et seq.*, (the “Act”);

WHEREAS, on May 28, 2024, Respondent filed his *Response in Opposition to Cease and Desist and Postponing Registration Pending Entry of Denial* requesting a hearing (the “Answer”) (“D.I. 5”);

WHEREAS, on June 20, 2024, Respondent filed his *Amended Response in Opposition to Summary Order to Cease and Desist and Postponing Registration Pending Entry of Denial* (the “Amended Answer”) (“D.I. 12”);

WHEREAS, on August 12, 2024, the Unit filed an Administrative Complaint in this matter (“D.I. 27”);

WHEREAS, an evidentiary hearing on the Summary Order was scheduled for August 13, 2024 and August 14, 2024;

WHEREAS, the parties reported on the record that an agreement had been reached between the Respondent and the Unit resolving the need for the evidentiary hearing on the Summary Order;

NOW, THEREFORE, IT IS HEREBY ORDERED:

1. The terms of the Summary Order are fully incorporated as if set forth herein except that in the Ordered section, page 6, numbered paragraph 1 is MODIFIED as follows:

“The registration application of Prettyman is POSTPONED pending the earlier of full resolution of the Administrative Complaint filed by the Unit on August 12, 2024 or settlement of this matter between the parties.”

2. The modification to Paragraph 1 on Page 6 of the Summary Order is solely the result of the agreement of the parties; in issuing this order, the Presiding Officer has taken no position on the Director’s findings of fact and conclusions of law set forth in the Summary Order.

3. The parties’ positions set forth in their prior pleadings, filings, and communications regarding the findings of fact and conclusions of law in the Summary Order (i.e., whether the parties have admitted, denied or otherwise challenged those findings or conclusions), are likewise preserved.

SO ORDERED this 24th day of September, 2024.

/s/ Lynn A. Kelly
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Presiding Officer
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