BEFORE THE DIRECTOR OF THE INVESTOR PROTECTION UNIT OF DELAWARE

IN THE MATTER OF:

INVESTOR PROTECTION DIRECTOR FOR THE STATE OF DELAWARE

Windsor Street Capital, LP (formerly known as Meyers Associates, L.P.)

JUN 0.7 2018

Respondent

SUMMARY ORDER OF SUSPENSION AND NOTICE OF INTENT TO REVOKE REGISTRATION

Based upon a preliminary investigation conducted by the staff of the Investor Protection Unit of the Delaware Department of Justice ("Unit"), pursuant to 6 *Del. C.* § 73-304 and 6 Del. Admin C. § 262, the Unit has determined that evidence exists to support the following findings and conclusions:

Windsor Street Capital, L.P., formerly known as Meyers Associates, L.P.
 ("Windsor Street"), is a Delaware registered broker-dealer with CRD number 34171. The Central Registration Depository indicates that Windsor Street can be contacted at the below address and phone number:

Windsor Street Capital, L.P. 45 Broadway 2nd Floor New York, NY 10006 Phone: 646-442-0610

2. On July 28, 2017, the Securities and Exchange Commission issued a cease and desist order commanding Windsor Street to stop committing or causing any violations and any future violations of Sections 5(a) and 5(c) of the Securities Act and Section 17(a) of the Exchange Act and Rule 17a-8 thereunder. This order asserted that Windsor Street failed to file

suspicious activity reports with the Financial Crimes Enforcement Network, as required by the Bank Secrecy Act of 1970 and facilitated the unregistered sale of penny stock shares, without performing adequate due diligence regarding the sales' Section 5 compliance.

- On September 8, 2017, Windsor Street submitted a Membership Continuance Application MC-400A to FINRA.
- 4. This Membership Continuance Application was denied on May 14, 2018.
- Windsor Street appealed the denial of the Membership Continuance
 Application and requested a stay which was denied on May 29, 2018. At
 that time Windsor Street was expelled from FINRA membership.
- 6. FINRA is a national securities association under 15 U.S.C. § 780-3.

WHEREAS, the records of the Unit indicate that Windsor Street was expelled from the membership of a national securities association registered under the Securities Exchange Act of 1934 by action of a national securities association, under 6 Del. Admin C. § 262(f); and

WHEREAS, the effect of this expulsion has not been stayed by administrative or judicial order; and

WHEREAS, Windsor Street is the subject of a cease and desist order or an order denying, suspending, or revoking registration as a broker-dealer, broker-dealer agent, investment adviser or investment adviser representative that was issued by the Securities and Exchange Commission on July 28, 2017, with the citation Windsor St. Capital Ltd. P'ship, Securities Act Release No. 10392, Exchange Act Release No. 81254, 117 SEC Docket 5 (July 28, 2017); and

WHEREAS, after due deliberation, the Director finds that it is necessary and appropriate, in the public interest, for the protection of investors and is consistent with purposes fairly intended by the policy and provisions of the Delaware Securities Act to issue the following Order:

NOW, THEREFORE, by the authority of 6 Del. Admin. C. § 262 of the Delaware Administrative Code:

IT IS ORDERED that the State of Delaware, shall immediately SUSPEND Windsor Street's registration as a broker-dealer; and

Within twenty-five (25) days of receipt of written notification that this Order has been issued, Windsor Street may file a written request with the Director to the Unit, at the Unit's Wilmington office, that a hearing be held to consider rescinding the Order. Such written notice should be served on the Unit within twenty-five (25) days of receipt of written notification that this Order has been issued.

Upon receipt of such a written request for a hearing, the matter will be set down for a hearing to commence within fifteen (15) days after receipt unless the person making the request consents to a later date.

Failure to make a timely demand for a hearing shall constitute a waiver of the right to a hearing. The allegations in this Notice shall then be deemed to have been admitted, and a final order of revocation shall be issued.

FAILURE TO COMPLY WITH THIS ORDER MAY RESULT IN CRIMINAL PROSECUTION, INJUNCTIVE PROCEEDINGS, CIVIL CONTEMPT PROCEEDINGS (INCLUDING CIVIL MONETARY PENALTIES) AND, SUBJECT TO THE RIGHT OF HEARING AFFORDED WINDSOR STREET UNDER THE

DELAWARE SECURITIES ACT, THE IMPOSITION OF ADMINISTRATIVE MONETARY ASSESSMENTS AND STATUTORY BARS.

So ORDERED this _____ day of June, 2018

BY ORDER OF THE DIRECTOR

Jillian Lazar

Assistant Director of the Investor Protection Unit