## BEFORE THE INVESTOR PROTECTION DIRECTOR OF THE STATE OF DELAWARE

IN RE: REQUEST TO WITHDRAW	)	
THE REGISTRATION OF	)	
	)	20-4004-01
LA MAR T. GUNN AND	)	
GUNN WEALTH MANAGEMENT,	)	
LLC	)	

# ORDER GRANTING THE WITHDRAWAL FROM DELAWARE REGISTRATION

# OF LA MAR T. GUNN AND GUNN WEALTH MANAGEMENT LLC

WHEREAS, La Mar T. Gunn ("Mr. Gunn") is an "investment adviser" as that term is defined in the Delaware Securities Act (the "Act") and is registered as such with the State of Delaware;

WHEREAS, Gunn Wealth Management, LLC, located at

is the name of the Delaware registered entity under which Mr. Gunn conducts his investment advisory business ("Gunn Wealth" and together with Mr. Gunn, "GWM");

WHEREAS, GWM was assigned Central Registration Depository ("CRD") No. 131720 by the Financial Industry Regulatory Authority ("FINRA");

WHEREAS, on June 19, 2020, Gunn Wealth's request to register as an investment adviser with the Securities and Exchange Commission (the "SEC") was approved by the SEC;

WHEREAS, on July 1, 2020, the Investor Protection Unit of the Delaware Department of Justice (the "Unit") received notice of GWM's request to terminate or withdraw its registration with the State of Delaware as an investment advisor on account of Gunn Wealth's registration with the SEC;

WHEREAS, pursuant to Section 73-304(e) of the Act, "[i]f a proceeding is pending or instituted, withdrawal becomes effective at such time and upon such conditions as the Director by

order determines";

NOW, THEREFORE, the Investor Protection Director (the "Director"), as administrator of the Act, hereby enters this Order:

#### FINDINGS OF FACT

1. Gunn Wealth has been assigned SEC No. 801-118932 and is now registered with the SEC.

2. GWM requested termination of its Delaware registration on July 1, 2020.

3. On July 7, 2020, GWM's requested termination of its Delaware registration was erroneously marked "terminated" by the Unit in the CRD database. That error was corrected on July 7, 2020.

4. On July 9, 2020, GWM's requested termination of its Delaware registration was again approved by the Unit in the CRD database in error. That erroneous entry was corrected on July 14, 2020.

### **CONCLUSIONS OF LAW**

1. Upon approval of Gunn Wealth's application for registration with the SEC, Gunn Wealth became a "federal covered adviser" as defined by the Act.

#### <u>ORDER</u>

On the basis of the Findings of Fact and Conclusions of Law, IT IS HEREBY ORDERED:

1. GWM's application to terminate and withdraw its registration as an investment adviser in Delaware is granted.

2. GWM's registration as an investment adviser with Delaware is deemed terminated and withdrawn effective July 7, 2020.

3. Upon entry of this Order, CRD will be changed to correctly identify the date of the

termination and withdrawal of GWM's registration as an investment adviser in Delaware.

4. This Order is entered pursuant to Section 73-304(e) of the Act, which provides that when "a proceeding is pending or instituted, withdrawal becomes effective at such time and upon such conditions as the Director by order determines."

5. This Order is entered into solely for the purpose of recording that GWM's application to terminate or withdraw its registration as an investment adviser in Delaware was granted; the Order's effect is limited to that purpose.

IT IS HEREBY ORDERED on this 14<sup>th</sup> day of September, 2020.

<u>/s/ Jillian Lazar\_</u>

Jillian Lazar Director of Investor Protection