BEFORE THE SECURITIES COMMISSIONER
OF THE STATE OF DELAWARE

IN THE MATTER OF: BARRY VICTOR BERNSTEIN, Respondent.

Case No. L93-06-01

FINAL ORDER OF REVOCATION

WHEREAS, on June 28, 1993, a Summary Order of Suspension and Notice of Intent to Revoke Broker-Dealer Agent Registration ("Notice of Intent") were issued against the respondent; and

WHEREAS, the Notice of Intent alleged that respondent was convicted of a felony, attempted burglary, in New York State on July 29, 1991, which is a basis for revocation of respondent's broker-dealer agent license under 6 Del. C. § 7316(a)(3); and

WHEREAS, the Notice of Intent additionally alleged that on June 3, 1993, the National Association of Securities Dealers, Inc. ("NASD") suspended respondent's registration for failure to disclose his indictment or conviction on his U-4 application form dated April 16, 1993, which is a basis for suspension or revocation under 6 Del. C. § 7316(a)(6); and

WHEREAS, respondent did not respond to a letter to him dated November 19, 1993, from the Delaware Securities Division which provided respondent with 30 days in which to request a hearing;

IT IS HEREBY ORDERED that the allegations against respondent in the Notice of Intent are deemed admitted by default and that it is in the public interest that respondent's broker-dealer agent registration be, and hereby is, permanently revoked.

Date: March 29, 1994

Richard W. Hubbard
Securities Commissioner