

BEFORE THE INVESTOR PROTECTION DIRECTOR OCT 14 2016
OF THE STATE OF DELAWARE

IN THE MATTER OF:)
)
GABRIEL BLOCK,) IPU Case No. 11-2-4
)
Respondent.)

CONSENT ORDER

WHEREAS, the Delaware Investor Protection Unit (“IPU”) filed an administrative complaint (the “Complaint”) against Respondent Gabriel Block (“Respondent”) on August 28, 2015 pursuant to the Delaware Securities Act, 6 *Del. C.* § 73-101, *et seq.* (the “Act”) alleging six counts arising from his service of a Delaware client’s securities accounts: (1) Securities Fraud - Churning; (2) Dishonest or Unethical Practice – Excessive Trading; (3) Securities Fraud – Unsuitable Recommendations; (4) Dishonest or Unethical Practice – Unsuitable Recommendations; (5) Dishonest or Unethical Practice – Narcotics Use; and (6) Dishonest or Unethical Practice – Failure to Address Mental Instability Notification;

WHEREAS, pursuant to 6 *Del. C.* § 73-501 and § 225A of the Rules and Regulations Pursuant to the Delaware Securities Act (the “Rules”), Deputy Attorney General Jason W. Staib has been designated to act as the hearing officer in this matter;

WHEREAS, on October 2, 2015, Respondent filed an Answer denying that he violated any provision of the Delaware Securities Act;

WHEREAS, Respondent has not conducted securities or advisory business in Delaware since March 2014, does not have any clients in Delaware, is currently not registered in any capacity, and Respondent agrees to voluntarily relinquish his right to apply for future registration as a broker-dealer agent or investment advisor representative in the State; and

WHEREAS, Respondent voluntarily waives his right to an administrative hearing on the allegations in the Complaint and any appeal rights that would have arisen from such a hearing in accordance with the Delaware Securities Act;

IT IS HEREBY ORDERED, pursuant to the consent of the IPU and Respondent, as follows:

1. Respondent's registrations as a broker-dealer agent and investment adviser representative in the State of Delaware are suspended for a period of three (3) years beginning March 13, 2014.
2. Respondent agrees to cease and desist any and all violations of the Delaware Securities Act.
3. The IPU enters into this Order in reliance on Respondent's agreement to voluntarily relinquish his right to apply for future registration as a broker-dealer agent or investment advisor representative in the State.
4. Respondent acknowledges that any failure to fully comply with the provisions of this Order constitutes a sufficient independent legal ground upon which the IPU may initiate further administrative proceedings pursuant to the provisions of the Delaware Securities Act. The IPU maintains jurisdiction over Respondent and this proceeding for the purposes of monitoring continued compliance with the provisions herein.

[signature page to follow]



/s/
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Attorneys for Respondent Gabriel Block

SO ORDERED this 14th day of October 2016.

**BY ORDER OF THE DELAWARE DEPARTMENT
OF JUSTICE, INVESTOR PROTECTION UNIT**

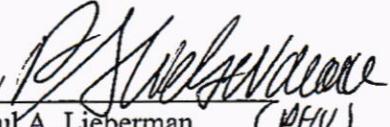


Gregory C. Strong
Investor Protection Director

/s/

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Attorneys for Respondent Gabriel Block

SO ORDERED this ____ day of _____ 2016

**BY ORDER OF THE DELAWARE DEPARTMENT
OF JUSTICE, INVESTOR PROTECTION UNIT**

Gregory C. Strong
Investor Protection Director