

SECURITIES COMMISSIONER FOR THE
STATE OF DELAWARE
APR 18 2013

BEFORE THE SECURITIES COMMISSIONER
OF THE STATE OF DELAWARE

IN THE MATTER OF:)
)
PRINTZ CAPITAL MANAGEMENT, LLC,) Case No. 11-8-1
)
Respondent)
)

ORDER CANCELLING APPLICATION FOR REGISTRATION

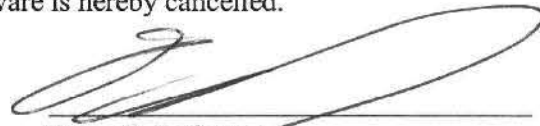
WHEREAS Printz Capital Management, LLC ("Respondent") filed an application for registration as a broker-dealer in the State of Delaware on April 5, 2011 (the "Application");

WHEREAS following a good faith investigation and after a reasonable search, the Division of Securities staff is unable to locate Respondent;

WHEREAS on March 29, 2012, the Delaware Secretary of State, Division of Corporations declared the charter of Respondent to be forfeited for failing to maintain a registered agent; and

WHEREAS the Delaware Securities Act (the "Act") authorizes the Securities Commissioner to cancel by order the registration or application of any registrant or applicant if the registrant or applicant is "no longer in existence or has ceased to do business as a broker-dealer, agent, investment adviser or investment adviser representative,... or cannot be located after reasonable search." *6 Del. C. § 73-304(d).*

IT IS HEREBY ORDERED this 18th of April, 2013 that, pursuant to section 73-304(d) of the Act, the Application for registration of Respondent to conduct business as a broker-dealer, broker-dealer agent, investment adviser, investment adviser representative, or any other business as an investment professional in the State of Delaware is hereby cancelled.



Owen P. Lefkon
Securities Commissioner for the State of Delaware

In Re: Printz Capital Management LLC)
C/O: Alfred Ludlum III)
27 Dickinson Lane)
Wilmington, Delaware 19801)

AG Investigation No.: 11-8-1
Issued: May 10, 2013

CERTIFICATE OF SERVICE

I, the undersigned, hereby certify that I am an investigator for the Delaware Department of Justice, Securities Division, and that, on, Friday May 10, 2013, I SERVED a true and correct copy of the attached Subpoena, by (Mail, Hand Delivery) to the below noted address:

Printz Capital Management LLC
C/O: Alfred Ludlum III
27 Dickinson Lane
Wilmington, Delaware 19801

By: Joseph Tizala
Investigator
Delaware Department of Justice
State Office Building
820 N. French St., 5th Floor
Wilmington, DE 19801

Dated: May 10, 2013